

**AMENDMENT TRANSMITTAL LETTER (Large Entity)**  
 Applicant(s): Hirohiko Nishiki

 Docket No.  
 SLA0454

 Serial No  
 10/021,342

 Filing Date  
 December 12, 2001

 Examiner  
 Tarifur R. Chowdhury

 Group Art Unit  
 2871

Invention: System and Method for Forming Ozone Resistant IC and LCD Structures (As Amended)

**TO THE COMMISSIONER FOR PATENTS:**

Transmitted herewith is an amendment in the above identified application.

The fee has been calculated and is transmitted as shown below.

**CLAIMS AS AMENDED**

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER OF EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	20 -	29 =	0	x \$18.00	\$00.00
INDEP. CLAIMS	6 -	7 =	0	x \$84.00	\$0.00
Multiple Dependent Claims (check if applicable) _____					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$00.00

☒ No additional fee is required for amendment.

Please charge Deposit Account No. 19-1457 in the amount of

A duplicate copy of this sheet is enclosed.

A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with the communication or credit any overpayment to Deposit Account No. 19-1457.

A duplicate copy of this sheet is enclosed.

☒ Any additional fees required under 37 C.F.R. 1.16.

☒ Any patent application processing fees under 37 C.F.R. 1.17.

**OFFICIAL  
FAX RECEIVED  
AUG 20 2003  
GROUP 2800**

Dated: AUGUST 20, 2003

David C. Ripma, Reg. No. 27,672

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office under 37 C.F.R. §1.8 at Fax No. (703) 372-9318 on August 20, 2003.

David C. Ripma, Reg. No. 27,672

Note: Each paper must have its own certificate or transmission, or this certificate must identify each submitted paper. The papers submitted include:

<input checked="" type="checkbox"/> This Amendment Transmittal Letter (Duplicate Attached)	2 page(s)
<input checked="" type="checkbox"/> Response under 37 CFR § 1.111	16 pag (s)
<input type="checkbox"/> Attachments	page(s)
<input checked="" type="checkbox"/> Notice of Non-Compliant Amendment (Voluntary Revised Practice)	1 pag

Total pages, including this Transmittal: 19



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20231  
www.uspto.gov

**F**

Paper No.

**Notice of Non-Compliant Amendment (Voluntary Revised Practice)**

The amendment filed 7.8.03 under the voluntary revised amendment practice guidelines<sup>1</sup>, published in the Official Gazette on February 25, 2003 (*Amendments in a Revised Format Now Permitted*, 1267 Off. Gazette 106), does not fully comply with minimal requirements of the voluntary practice. In order for the amendment to be entered, it must either (1) comply with the guidelines of the voluntary revised amendment practice (which practice invokes waivers of certain 37 CFR 1.121(a)-(d) requirements) or (2) comply with current 37 CFR 1.121 requirements.

THE FOLLOWING ITEM(S) IN APPLICANT'S AMENDMENT CAUSES THE AMENDMENT TO BE NON-COMPLIANT WITH THE VOLUNTARY REVISED AMENDMENT PRACTICE.

- ☒ 1. A complete listing of all of the claims is not present in the amendment paper.
- ☐ 2. The listing of claims does not include the text of all claims currently under examination.
- ☐ 3. The claims of this amendment paper have not been prescuted in ascending numerical order.
- ☐ 4. Each claim has not been provided with a status identifier, and; as such, the individual status of each claim cannot be determined.
- ☒ 5. Other: Claims 9-17 are not listed.

LIE: Check one of the following boxes:

- ☐ **PRELIMINARY AMENDMENT:** Applicant is given ONE MONTH from the mail date of this letter to re-submit the amendment in compliance with either the guidelines of the revised amendment practice or current 37 CFR 1.121. Failure to comply with either the current 37 CFR 1.121 practice or with the voluntary practice will result in non-entry of the amendment and examination on the merits will commence without entry of the originally proposed preliminary amendment. This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.
- ☒ **AMENDMENT AFTER NON-FINAL ACTION:** Since the above-mentioned reply appears to be a *bona fide* response, applicant is given a TIME PERIOD of ONE MONTH from the mailing of this notice within which to re-submit an amendment which complies with either the voluntary practice guidelines or current 37 CFR 1.121 in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).

[ Signed by Team Leader ]

Team Leader

<sup>1</sup> For further explanation of the guidelines of the revised amendment format, please see the posted notice and sample amendment format at: <http://www.uspto.gov/web/offices/pac/dapp/ople/prenotice/officeflyer.pdf> and <http://www.uspto.gov/web/offices/pac/dapp/ople/prenotice/formatrevisedprac.pdf>

March 26, 2003

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